

*IFW*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Elaine Lim et al. Confirmation No.: 4203  
Serial No.: 10/792,076 Examiner: Unknown  
Filing Date: March 2, 2004 Group Art Unit: 3763  
Docket No.: 1001.1766101 Customer No.: 28075  
For: OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY  
EXPANDABLE BALLOON

***TRANSMITTAL SHEET***

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
on this 7<sup>th</sup> day of June 2004.

By

*David M. Crompton*  
David M. Crompton

We are transmitting herewith the attached:

- [ ] Amendment  
[ ] No additional claim fee required  
[ ] The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed. Itemization:

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

[ ] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

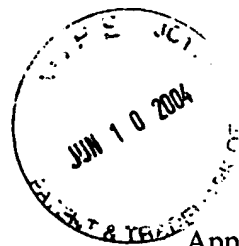
[XX] Other: Information Disclosure Statement and Form PTO-1449.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

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**INFORMATION DISCLOSURE STATEMENT**

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By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

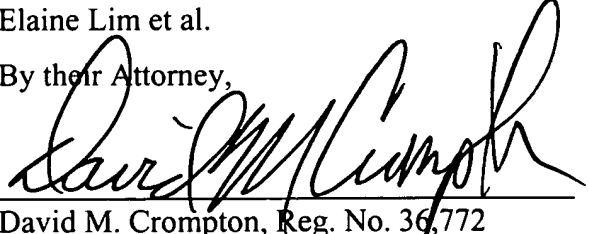
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

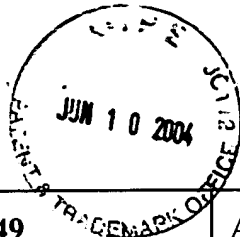
Respectfully submitted,

Elaine Lim et al.

By their Attorney,

Date: 6/7/04

  
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<b>FORM PTO-1449</b>		Atty. Docket No.: 1001.1766101	Serial No.: 10/792,076
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Elaine Lim et al.	
		Confirmation No.: 4203	
		Filing Date: March 2, 2004	Group Art: 3763

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	6,500,146	12/31/2002	Pinchuk et al.	
AB	6,500,148	12/31/2002	Pinchuk et al.	
AC	6,626,861	09/30/2003	Hart et al.	

### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.